

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

Hug et al.

Application No.: 10/719,981

Filed: November 21, 2003

For: DIGITAL RIGHTS MANAGEMENT

FOR CONTENT RENDERING ON

**PLAYBACK DEVICES** 

Examiner: To be assigned

Art Group: 3627

Confirmation No. 1738

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Typed or Printed: Yvette L. Chriscaden

Signature With T. Muscoule

MAIL STOP AMENDMENT Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

## FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. § 1.97(g), the submission of this Information Disclosure Statement (IDS) is not to be construed as a representation that a search has been made, and, pursuant to 37 C.F.R. § 1.97(h), the submission of this IDS is not to be construed as an admission that the information cited in this IDS is material to patentability.

This IDS is being submitted under one or more of the following sections of 37 C.F.R. § 1.97 (as indicated by an "X" to the left of the appropriate paragraph(s)): X 37 C.F.R. § 1.97(b). Filed: (1) Within three months of a National Application other than a CPA: (2) Within three months of entry into National Stage: (3) Before mailing of a first Office Action on the merits; or (4) Before mailing of a first Office Action after filing an RCE. 37 C.F.R. § 1.97(c). Filed before the mailing of a Final Office Action. Notice of Allowance, or an action that otherwise closes prosecution. And, ONE of the following: (1) One statement from 37 C.F.R. § 1.97(e); (e)(1) The person signing below certifies that each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or (e)(2) The person signing below certifies that no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 1.56(c) more than three months prior to filing this IDS. (2) The fee set forth in 37 C.F.R. § 1.17(p). A check in the amount of \$180.00 is enclosed. 37 C.F.R. § 1.97(d). Filed on or before payment of the issue fee. And, BOTH of the following: (1) one statement from 37 C.F.R. § 1.97(e); (e)(1) The person signing below certifies that each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or (e)(2) The person signing below certifies that no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 1.56(c) more than three months prior to filing this IDS. (2) The fee set forth in 37 C.F.R. § 1.17(p).

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A check in the amount of \$180.00 is enclosed.

Attorney's Docket No.: 109905-134283 Application No.: 10/719,981

Accompanying this IDS is a list of references and a copy of each reference listed therein, EXCEPT copies of references waived under:

(A) one or more of the following sections of 37 C.F.R. § 1.98 (as indicated by an "X" to the left of the appropriate paragraph(s)): 37 C.F.R. § 1.98(c) as being cumulative (marked with an "\*" on the Form PTO-1449). 37 C.F.R. § 1.98(d) as being previously submitted in parent application /\_\_\_\_, to which the present application claims priority under 35 U.S.C. § 120.

(B) 1273 Off. Gaz. Pat. Office 55 as being submitted with respect to an application filed after June 30, 2003.

The Commissioner is hereby authorized to charge shortages or credit overpayments to Deposit Account No. 500393. A Fee Transmittal is enclosed in duplicate for fee processing purposes.

> Respectfully submitted, SCHWABE, WILLIAMSON & WYATT, P.C.

Dated: November 21, 2005

Al AuYeung Registration No. 35,432

Pacwest Center, Suites 1600-1900 1211 SW Fifth Avenue Portland, Oregon 97204 Telephone: 503-221-9981

PTO/SB/08a (07-05) Approved for use through 07/31/2006. OMB 0651-0031

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**Examiner Name** 

Substitute for form 1449A/PTO

App

INFORMATION DISCLOSURE

Filin

 Application Number
 10/719,981

 Filling Date
 11/21/03

 First Named Inventor
 Hug

 Art Unit
 3627

(Use as many sheets as necessary)

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STATEMENT BY APPLICANT

Attorney Docket Number 109905-134283

Complete if Known

To be assigned

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Examiner nitials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (F known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	<sup>US-</sup> 5,655,144	08-05-1997	Milne et al.	
	2	<sup>US-</sup> 6,151,634	11-21-2000	Glaser et al.	78 T TO COMP OF THE STATE OF S
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	4	<sup>US-</sup> 6,842,906	01-11-2005	Bowman-Amuah et al.	
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FOREIGN PATENT DOCUMENTS											
Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	T⁵						
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	No. <sup>1</sup>	Cite No. 1 Country Code <sup>3</sup> Number 4 Kind Code <sup>5</sup> (# known)	Cite No. 1 Country Code <sup>3</sup> Number 1 Kind Code <sup>5</sup> (if known)  Publication Date MM-DD-YYYY	Cite No. 1 Country Code 3 Number 4 Kind Code 5 (If known)  Publication Date MM-DD-YYYY Applicant of Cited Document	Cite Foreign Patent Document Publication Date Name of Patentee or Pages, Columns, Lines,						

Examiner	Date		
Signature	Considered		
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.